

## MEMORANDUM

**TO:** File No. S7-34-10

**FROM:** Brian P. Murphy  
Counsel to Commissioner Walter  
Office of Commissioner Walter

**DATE:** November 7, 2011

**RE:** Meeting with Representatives of the Securities Industry and Financial Markets Association (“SIFMA”)

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On November 4, 2011, Commissioner Walter and Brian P. Murphy met with representatives of SIFMA to discuss the creation of global legal entity identifiers. The following individuals from SIFMA attended the meeting:

- Robin Doyle, SVP of JPMorgan Chase & Co.
- Deborah Culhane, COO of Fidelity Actionsxchange
- Tom Price, Managing Director of SIFMA
- Bradley Edgell, Managing Director of SIFMA