

MEMORANDUM

To: File

From: Andrew J. Green
Counsel to Commissioner Kara Stein
U.S. Securities and Exchange Commission

Date: December 11, 2014

Re: Meeting with Better Markets

On Tuesday, December 9, 2014, Robert Peak and Andrew Green, counsels to Commissioner Stein, spoke by phone to Irina Leonova, Stephen Hall, and Todd Phillips of Better Markets.

Among the topics discussed were the Commission's Proposed Rules on Reporting and Dissemination of Security-Based Swap Information (S7-34-10) and Proposed Rules on Security-Based Swap Data Repository Registration, Duties, and Core Principles (S7-35-10), both under Title VII of the Dodd-Frank Act.