

MEMORANDUM

TO: File Nos. S7-32-22; S7-12-23

FROM: Susan Poklemba
Counsel to Commissioner Caroline Crenshaw

RE: Meeting with representative of Better Markets

On October 1, 2024, counsels to Commissioner Caroline Crenshaw, Janna Berke and Susan Poklemba, participated in a call with Ben Schiffrin, Director of Securities Policy at Better Markets.

Among other matters, the participants discussed proposed Regulation Best Execution (Release Number 34-96496; File No. S7-32-22) and proposed Conflicts of Interest Associated with the Use of Predictive Data Analytics by Broker-Dealers and Investment Advisers (Release Nos. 34-97990; IA-6353; File No. S7-12-23).