

MEMORANDUM

To: File Nos. S7-31-22, S7-30-22, S7-29-22, S7-29-32-22
From: Richard Gabbert, Counsel to Commissioner Hester M. Peirce
Re: Meeting with SIFMA

On August 28, 2023, Commissioner Hester Peirce and her legal advisor, Richard Gabbert, participated in a videoconference with representatives of SIFMA. Representatives of SIFMA included:

- Ovidio Montemayor, Charles Schwab;
- Jeffrey Brown, Charles Schwab;
- John Roeser, Charles Schwab;
- Gregg Berman, Citadel;
- Michael Masone, Citi;
- Mark Campbell, Fidelity;
- Diwa Cody, Goldman Sachs;
- Andrew Upward, Jane Street Group;
- Jessica Seo, JP Morgan;
- Debbie Toennies, JP Morgan;
- Sapna Patel, Morgan Stanley;
- JJ Kinahan, Tastytrade;
- Tom Merritt, Virtu;
- Joseph Seidel, SIFMA;
- Ellen Greene, SIFMA;
- Ken Bentsen, SIFMA; and
- Joseph Corcoran, SIFMA.

The participants discussed the Commission's proposed market structure reforms.