

MEMORANDUM

TO: File No. S7-29-22
File No. S7-30-22
File No. S7-31-22
File No. S7-32-22

FROM: Susan Poklemba
Counsel to Commissioner Caroline Crenshaw

RE: Meeting with representatives of SIFMA

On September 13, 2023, Commissioner Caroline Crenshaw and Susan Poklemba spoke with representatives of SIFMA, including:

- Joseph Seidel, SIFMA
- Ellen Greene, SIFMA
- Ken Bentsen, SIFMA
- Joseph Corcoran, SIFMA
- Ovidio Montemayor, Charles Schwab
- Jeffrey Brown, Charles Schwab
- John Roeser, Charles Schwab
- Michael Masone, Citi
- Christopher Larkin, Etrade
- Mark Campbell, Fidelity
- Diwa Cody, Goldman Sachs
- Andrew Upward, Jane Street Group
- Anna Ziotis Kurzrok, Jefferies
- Jessica Seo, JP Morgan
- Debbie Toennies, JP Morgan
- Alex Gorodetsky, JP Morgan
- Sapna Patel, Morgan Stanley
- JJ Kinahan, Tastytrade
- Jessica D'Alton, UBS Securities LLC
- George Wolf, UBS Securities LLC
- Tom Merritt, Virtu
- Andrew Smith, Virtu

Among the topics discussed were the Commission's proposals for Disclosure of Order Execution Information (S7-29-22), Regulation NMS: Minimum Pricing Increments, Access Fees, and

Transparency of Better Priced Orders (S7-30-22), Order Competition Rule (S7-31-22), and Regulation Best Execution (S7-32-22).