

MEMORANDUM

TO: File No. S7-05-15
File No. S7-31-22
File No. S7-32-22

FROM: Susan Poklemba
Counsel to Commissioner Caroline Crenshaw

RE: Meeting with representatives of Chicago Trading Company and Delta Strategy Group

On July 18, 2023, Commissioner Caroline Crenshaw and Susan Poklemba spoke with representatives of the Chicago Trading Company (“CTC”) and Delta Strategy Group (“DSG”), including Eric Chern and Mel Williams of CTC, as well as Scott Parsons and Edmund Perry of DSG.

Among the topics discussed were the Commission’s proposals for an Exemption for Certain Exchange Members (File No. S7-05-15), the Order Competition Rule (File No. S7-31-22), and Regulation Best Execution (S7-32-22).