

**MEMORANDUM**

TO: File No. S7-32-22

FROM: David Remus  
Office of Market Supervision, Division of Trading and Markets

DATE: June 1, 2023

SUBJECT: Meeting with Financial Industry Regulatory Authority, Inc. (“FINRA”)

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On May 31, 2023, David Shillman, David Bloom, David Dimitriou, Deborah Flynn, Arisa Tinaves Kettig, Sarah Schandler, Cathy Lian, and David Remus from the Division of Trading and Markets, participated in a meeting with Bob Colby, Raquel Russell Guest, Alex Ellenberg, Jon Kroeper, Patrick Geraghty, and Robert McNamee from FINRA.

The discussion concerned the Commission’s proposed rule Regulation Best Execution (File No. S7-32-22).