

MEMORANDUM

TO: File No. S7-32-10
File No. S7-32-22

FROM: Susan Poklemba
Counsel to Commissioner Caroline Crenshaw

RE: Meeting with representatives of Goldman Sachs

On November 2, 2023, Commissioner Caroline Crenshaw and Susan Poklemba spoke with representatives of Goldman Sachs, including:

- Alex Finston, Co-Head of Investment Grade Credit Trading and Sales
- Jameson Schriber, Co-Head of Equities Trading
- Diwa Cody, Head of US Equity Market Structure
- Annette Kelton, Co-Head of Americas Equities Legal
- Nick Van Dusen, VP, Legal, and
- Ryan Jachym, MD Government Relations.

Among the topics discussed were the Commission's proposals for Position Reporting of Large Security-Based Swap Positions (S7-32-10) and Regulation Best Execution (S7-32-22).