

MEMORANDUM

To: File Nos. S7-12-23; S7-32-22; S7-31-22; S7-30-22; S7-29-22; S7-32-10; S7-23-22;
and S7-12-22
From: William Middlebrooks, Counsel to Commissioner Hester M. Peirce
Re: Meeting with the Board of Directors of the Insured Retirement Institute (IRI)

On 19 October, 2023 Commissioner Hester M. Peirce and William Middlebrooks, Counsel to Commissioner Peirce, met with the IRI Board of Directors.

Among the topics discussed were the Commission's rulemaking proposals on predictive data analytics by broker-dealers and investment advisers, equity market structure reforms, proposed disclosure requirements under Exchange Act Rule 10B-1, and proposed treasury market reforms.