

To: File No.: S7-32-10
From: Office of Public Engagement
Date: 10/3/23
Re: Virtual Meeting with the Managed Funds Association

On September 29, 2023, Chair Gensler and SEC staff met with the Managed Funds Association (MFA) to discuss the Reporting of Large Security-Based Swap Positions Proposal.

Members of the SEC included:

- Chair Gary Gensler
- Heather Slavkin Corzo, Director of Policy
- Jessica Wachter, Chief Economist and Director of the Division of Economic and Risk Analysis
- Haoxiang Zhu, Director of the Division of Trading and Markets
- Heather Percival, Trading and Markets Counsel

Representatives from MFA included:

- Bryan Corbett, President & CEO, MFA
- Jenn Han, Executive Vice President, Chief Counsel, Head of Global Regulatory Affairs, MFA
- Joseph Schwartz, Executive Vice President, Chief Counsel, Head of Global Regulatory Affairs, MFA
- Ajay Salhotra, Chief Compliance Officer, PDT Partners, LLC
- Matthew Lillvis, Deputy Chief Compliance Officer, Millennium Management LLC
- Stephen Berger, Managing Director, Government and Regulatory Policy, Citadel LLC