

To: File No.: S7-32-10
From: Office of Public Engagement
Date: 10/3/23
Re: Virtual Meeting with SIFMA

On September 21, 2023, Chair Gensler and SEC staff met with the Securities Industry and Financial Markets Association (SIFMA) to discuss the Reporting of Large Security-Based Swap Positions Proposal.

Members of the SEC included:

- Chair Gary Gensler
- Heather Slavkin Corzo, Director of Policy
- Jessica Wachter, Chief Economist and Director of the Division of Economic and Risk Analysis
- Haoxiang Zhu, Director of the Division of Trading and Markets
- Heather Percival, Trading and Markets Counsel

Representatives from SIFMA included:

- Ken Bentsen, President & CEO, SIFMA
- Joe Siedel, Chief Operating Officer, SIFMA
- Jennie Jacoby, Managing Director & Associate General Counsel, Federal Affairs, SIFMA
- Josh Wilsusen, Executive Vice President of Advocacy, SIFMA
- Kyle Brandon, Managing Director, Head of Derivatives, SIFMA
- Bill Thum, Managing Director, SIFMA Asset Management Group
- Saima Ahmed, General Counsel, SIFMA
- Rob Toomey, Head of Capital Markets, SIFMA
- Michael Kelleher, Managing Director, Americas Head Equity Finance, JP Morgan
- Michael Winnike, Director, Head of US Market Structure, BlackRock