

MEMORANDUM

August 25, 2023

TO: File Nos.: S7-12-22 and S7-32-10

FROM: Robert Fisher
Office of Commissioner Mark T. Uyeda

RE: Meeting with Representative of the Alternative Investment Management Association (“AIMA”)

On August 25, 2023, Commissioner Mark T. Uyeda’s counsel, Robert Fisher, and his Policy Advisor and Confidential Assistant, Taylor Asher, had a meeting with AIMA. The attendees met virtually. The AIMA representative in attendance consisted of:

- Joseph Engelhard, Senior Advisor

Among other matters, the participants discussed AIMA’s comment letters filed in connection with the Further Definition of “As a Part of a Regular Business” in the Definition of Dealer and Government Securities Dealer, and Reopening of Comment Period for Position Reporting of Large Security-Based Swap Positions.