

MEMORANDUM

To: File Nos. S7-32-10, S7-06-22
From: Ammon Simon, Counsel to Commissioner Hester M. Peirce
Re: Meeting with Representatives of CIRCA

On 7 November, 2022 Commissioner Hester M. Peirce and Ammon Simon, Counsel to Commissioner Peirce, met with the following CIRCA representatives:

- Brian Gunderson, Elliott Management
- Roy Katzovicz, Saddle Point Management
- Josh Targoff, Third Point LLC
- Jeff Cook-McCormac, Third Point LLC
- Jose Ceballos, S-3 Group
- Milan Dilal, Tiger Hill Partners
- Justin Daly, Daly Consulting Group

Among the topics discussed were the Commission's rulemaking proposals on:

- *Prohibition Against Fraud, Manipulation, or Deception in Connection With Security-Based Swaps; Prohibition Against Undue Influence Over Chief Compliance Officers; Position Reporting of Large Security-Based Swap Positions.*
- *Modernization of Beneficial Ownership Reporting*