

## MEMORANDUM

**To:** File Nos. S7-06-22; S7-32-10

**From:** Division of Corporation Finance

**Re:** Meeting with Robert Jackson, David Katz, and Leo Strine

**Date:** August 10, 2022

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On August 3, 2022, staff from the Division of Corporation Finance (including Erik Gerding, Ted Yu, Nicholas Panos, and Valian Afshar), the Division of Trading and Markets (including Carol McGee, Rajal Patel, Pamela Carmody, and Richard Mo), the Division of Economic and Risk Analysis (including Charles Woodworth, Qiao Kapadia, Lyndon Orton, and Jovan Stojkovic), the Office of the General Counsel (including Dorothy McCuaig, Lisa McCann, and David Russo), and the Office of the Chair (including Corey Klemmer) met with Robert Jackson, Charlotte LeBarron, and Marc Francis of the New York University School of Law and David Katz and Leo Strine of Wachtell Lipton Rosen & Katz to discuss the proposed rulemakings *Modernization of Beneficial Ownership Reporting* and *Prohibition Against Fraud, Manipulation, or Deception in Connection with Security-Based Swaps; Prohibition against Undue Influence over Chief Compliance Officers; Position Reporting of Large Security-Based Swap Positions*.