

## MEMORANDUM

TO: File No. S7-06-22, File No. S7-32-10, File No. S7-32-10

FROM: Jennifer Songer, Policy Counsel, Office of the Chair

RE: Meeting with the Managed Funds Association

DATE: May 6, 2022

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On May 6, 2022, Gary Gensler, Chair; Heather Slavkin Corzo, Policy Director; Jennifer Songer, Policy Counsel; Jessica Wachter, Chief Economist; and William Birdthistle, Director of the Division of Investment Management met with the following representatives of the Managed Funds Association:

- Jennifer Han, Managed Funds Association
- Joseph Schwartz, Managed Funds Association
- Matthew Daigler, Managed Funds Association
- Matthew Lillvis, Millennium Management LLC
- Ajay Salhotra, PDT Partners, LLC
- Jeff Cook-McCormac, Third Point LLC
- Adam Schloss, ExodusPoint Capital Management, LP
- Stephen Berger, Citadel LLC

The participants discussed the proposals entitled “Modernization of Beneficial Ownership Reporting,” “Proposed Rulemaking on Position Reporting of Large Security Based Swap Positions,” and “Notice of Proposed Rulemaking on the Prohibition Against Fraud, Manipulation, or Deception in Connection with Security-Based Swaps.” In particular, the participants discussed the topics covered in the comment letters submitted by the Managed Fund Association on these topics.