

## MEMORANDUM

TO: File nos. S7-32-22, S7-31-22, S7-30-22

FROM: Sai Rao  
*Policy Counsel, Office of the Chair*

RE: Meeting with SIFMA

DATE: August 28, 2023

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On August 28, 2023, Sai Rao and Heather Percival, Policy Counsels for the Chair, met with representatives from SIFMA and their member firms:

- Joseph Seidel, SIFMA
- Ellen Greene, SIFMA
- Ken Bentsen, SIFMA
- Joseph Corcoran, SIFMA
- Ovidio Montemayor, Charles Schwab
- Jeffrey Brown, Charles Schwab
- John Roeser, Charles Schwab
- Michael Masone, Citi
- Christopher Larkin, Etrade
- Mark Campbell, Fidelity
- Diwa Cody, Goldman Sachs
- Andrew Upward, Jane Street Group
- Anna Ziotis Kurzrok, Jefferies
- Jessica Seo, JP Morgan
- Debbie Toennies, JP Morgan
- Alex Gorodetsky, JP Morgan
- Sapna Patel, Morgan Stanley
- JJ Kinahan, Tastytrade
- Jessica D'Alton, UBS Securities LLC
- George Wolf, UBS Securities LLC
- Tom Merritt, Virtu
- Andrew Smith, Virtu

The participants discussed the Order Competition Rule, Best Ex, and Reg NMS minimum pricing increment proposals.