

MEMORANDUM

TO: File No. SR- S7-32-22; File No. S7-30-22

FROM: Susan Poklemba
Counsel to Commissioner Caroline Crenshaw

RE: Meeting with representatives of Urvin Finance

On March 18, 2024, Commissioner Caroline Crenshaw and Susan Poklemba spoke with representatives of Urvin Finance, including:

- Dave Lauer (CEO), and
- Alex Cohen (COO).

Among the topics discussed were the Regulation Best Execution proposal (File No. S7-32-22) and the Minimum Pricing Increments, Access Fees, and Transparency of Better Priced Orders proposal (File No. S7-30-22).