

MEMORANDUM

TO: File Nos. S7-29-22, S7-30-22, S7-31-22, S7-32-22, S7-18-23

FROM: Sharon Park, Counsel to the Director of Trading and Markets
Division of Trading and Markets

RE: Meeting with Modern Markets Initiative

DATE: October 30, 2023

On October 30, 2023, staff from the Division of Trading and Markets (TM) met with Modern Markets Initiative (MMI). The general topics discussed were the Commission's proposed rules on *Disclosure of Order Execution Information* (S7-29-22), *Regulation NMS* (S7-30-22), *Order Competition Rule* (S7-31-22), *Regulation Best Execution* (S7-32-22), and *Volume-Based Exchange Transaction Pricing for NMS Stocks* (S7-18-23).

Attendees from TM included Haoxiang Zhu, David Shillman, Erika Berg, Kathleen Gross, Lauren Yates, Richard Holley, Kelly Riley, David Dimitrious, Daniel Gray, David Hsu, Alex Jadin, Dan Mathisson, Yue Ding, Jennifer Colihan, and Sharon Park.

Attendees from MMI included Adam Nunes (Hudson River Trading), Paul O'Donnell (Hudson River Trading), Johannah Ladd (Quantlab), Travis Varner (Quantlab), Richard Grant (GTS), Paul Adcock (GTS), Ken Wilson (Tower Research Capital), and Kirsten Wegner (MMI).