

To: File Nos.: S7-29-22, S7-30-22, S7-31-22, S7-32-22  
From: Office of Public Engagement  
Date: 10/16/23  
Re: Meeting with New York Stock Exchange and Intercontinental Exchange

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On October 6, 2023, Chair Gensler and SEC staff met with New York Stock Exchange (NYSE) and Intercontinental Exchange (ICE) to discuss the following proposals:

- Disclosure of Order Execution Information – S7-29-22
- Regulation NMS: Minimum Pricing Increments, Access Fees, and Transparency of Better Priced Orders – S7-30-22
- Order Competition Rule – S7-31-22
- Regulation Best Execution – S7-32-22

Members of the SEC included:

- Chair Gary Gensler
- Jessica Wachter, Chief Economist and Director of the Division of Economic Risk and Analysis
- Heather Percival, Trading and Markets Counsel
- Sai Rao, Trading and Markets Counsel

Representatives from NYSE and ICE included:

- Jeff Sprecher, CEO, ICE
- Lynn Martin, President, NYSE
- Hope Jarkowski, General Counsel, NYSE
- Jon Herrick, Chief Product Officer, NYSE
- Kevin Tyrrell, Head of Equities and Head of Research, NYSE
- Elizabeth King, President, Sustainable Finance, ICE