

MEMORANDUM

TO: File nos. S7-29-22, S7-30-22, S7-31-22, S7-32-22

FROM: Sai Rao
Policy Counsel, Office of the Chair

RE: Meeting with Nasdaq

DATE: August 9th, 2023

On August 9th, 2023, Sai Rao, Policy Counsel for the Chair, met with the following representatives of Nasdaq:

- Jeff Davis, Deputy General Counsel
- Kevin Kennedy, EVP of North American Markets
- Brett Kitt, Principal Associate General Counsel
- Phil Mackintosh, Chief Economist
- Heinrich Lutjens, Vice President, Economic Research
- Andrew Oppenheimer, Head of Business Development and Strategy

The participants discussed the Commission's proposals on Disclosure of Execution Information, Regulation NMS: Minimum Pricing Increments, Access Fees, and Transparency of Better Priced Orders, Regulation Best Execution, and the Order Competition Rule.