

MEMORANDUM

TO: File Nos. S7-29-22, S7-30-22, S7-31-22, S7-32-22

FROM: Sharon Park, Counsel to the Director of Trading and Markets
Division of Trading and Markets

RE: Meeting with Investment Company Institute

DATE: July 20, 2023

On July 20, 2023, staff from the Division of Trading and Markets (TM) met with Investment Company Institute (ICI). The general topics discussed were the Commission's proposed rules on *Disclosure of Order Execution Information* (S7-29-22), *Regulation NMS* (S7-30-22), *Order Competition Rule* (S7-31-22), and *Regulation Best Execution* (S7-32-22).

Attendees from TM included Haoxiang Zhu, David Shillman, Kelly Riley, David Dimitriou, Dan Gray, Kathleen Gross, Lauren Yates, Jennifer Colihan, Yue Ding, Deborah Flynn, Arisa Tinaves Kettig, Steve Kuan, Cathy Lian, Marc McKayle, David Remus, and Stacia Sowerby.

Attendees from ICI included Mett Kinak (T. Rowe Price), Jon Siegel (T. Rowe Price), Gerry O'Reilly (Vanguard), Kenneth Hatt (Vanguard), Chris Johnson (Charles Schwab Asset Management), Ashley Banfield (Fidelity Investments), Tim Estella (Fidelity Investments), Sarah Bessin (ICI), Kevin Ercoline (ICI), and Nhan Nguyen (ICI).