

To: File No.: S7-30-22
From: Office of Public Engagement
Date: 2/16/23
Re: Meeting with various investor and advocacy organizations

On February 10, 2023, Chair Gensler and SEC staff met with various investor and advocacy organizations to discuss, among other topics, matters related to the SEC's Regulation NMS proposal.

Members of the SEC included:

- Chair Gary Gensler
- Amanda Fischer, Chief of Staff
- Heather Slavkin Corzo, Director of Policy
- Corey Klemmer, Corporation Finance Counsel
- Mika Morse, Climate Counsel
- Jay Krawitz, Investment Management Counsel
- Heather Percival, Trading and Markets Counsel
- Sai Rao, Trading and Markets Counsel
- Corey Frayer, Senior Advisor
- Barbara Roper, Senior Advisor

Representatives from State Street included:

- Brandon Rees, Deputy Director, Office of Investment, AFL-CIO
- Andrew Park, Senior Policy Analyst, Hedge Funds and Private Equity, Americans for Financial Reform (AFR)
- Natalia Renta, Senior Policy Counsel for Corporate Governance and Power, AFR
- Stephen Hall, Legal Director and Securities Specialist, Better Markets
- Alexandra Thornton, Senior Director of Tax Policy for Economic Policy, Center for American Progress
- Steven Rothstein, Managing Director, Ceres Accelerator for Sustainable Capital Markets
- Jake Rascoff, Director, Climate Financial Regulation, Ceres Accelerator for Sustainable Capital Markets
- Dan Mauer, Director of Government Affairs, Communications Workers of America (CWA)
- Nell Geiser, Research Director, CWA
- Chris Nagy, Co-Founder and Director, HMA
- Josh Zinner, Chief Executive Officer, Interfaith Center on Corporate Responsibility (ICCR)
- Lisa Gilbert, Executive Vice President, Public Citizen
- Sanford Lewis, Director, Shareholder Rights Group