

MEMORANDUM

June 6, 2023

TO: File Nos.: S7-29-22, S7-30-22, S7-31-22, and S7-32-22

FROM: Robert Fisher

Office of Commissioner Mark T. Uyeda

RE: Meeting with Representatives of Robinhood

On June 5, 2023, Commissioner Mark T. Uyeda, and his counsel, Robert Fisher, as well as his Policy Advisor and Confidential Assistant, Taylor Asher, had a meeting with Robinhood. Commissioner Uyeda and the attendees met in person. The Robinhood representatives in attendance consisted of:

- Vlad Tenev, CEO of Robinhood
- Lucas Moskowitz, Deputy General Counsel of Robinhood
- John Markle, Deputy General Counsel of Robinhood
- Ben Brown, Outside Counsel at Blue Ridge Law & Policy

Among other matters, the participants discussed Robinhood's comment letters filed in connection with the Order Competition Rule; Regulation Best Execution; Disclosure of Order Execution Information; and Regulation NMS: Minimum Pricing Increments, Access Fees, and Transparency of Better Priced Orders.