

MEMORANDUM

To: File No. S7-26-22
From: Office of Commissioner Caroline Crenshaw
Date: August 27, 2024
Re: Meeting with Representatives of American Retirement Association

On May 1, 2023, Commissioner Crenshaw and her counsel Janna Berke, met virtually with representatives of the American Retirement Association (“ARA”). Those representatives included:

- Brian Graff, Chief Executive Officer of ARA
- Allison Wielobob, General Counsel of ARA
- Jennifer Doss, Senior Director of CAPTRUST
- Paul Neveu, Chief Executive Officer of BPAS (Benefit Plans Administrative Services)
- Robert Plaze, Partner, Proskauer Rose LLP

Among the topics discussed was the Commission’s proposed rule on Open-End Fund Liquidity Risk Management Programs and Swing Pricing; Form N-PORT Reporting.