

To: File Nos.: S7-26-22, S7-04-23
From: Office of Public Engagement
Date: 5/24/24
Re: Meeting with State Street Global Advisors

On May 23, 2024, Chair Gensler and SEC staff met with State Street Global Advisors to discuss, among other matters, the Open-End Fund Liquidity Risk Management Programs and Swing Pricing; Form N-PORT Reporting rule proposal and the Safeguarding Advisory Client Assets rule proposal. The discussion covered the issues expressed in State Street Global Advisors' [February 14, 2023, comment letter](#) and in State Street's [May 8, 2023, comment letter](#).

Members of the SEC included:

- Chair Gary Gensler
- Amanda Fischer, Chief of Staff
- Natasha Greiner, Director of the Division of Investment Management

Representatives from State Street included:

- Yie-Hsin Hung, President & CEO, State Street Global Advisors
- Sean O'Malley, General Counsel, State Street Global Advisors
- Steven Patterson, Managing Director, State Street
- Donna Milrod, Executive Vice President and Chief Product Officer, State Street
- Karolina Arias, Vice President, US Public Policy, State Street