

## MEMORANDUM

TO: File No. S7-25-20

FROM: Neel Maitra  
Senior Special Counsel (Fintech & Crypto Specialist)  
Division of Trading and Markets  
U.S. Securities and Exchange Commission

DATE: October 28, 2021

SUBJECT: WebEx Meeting with Members and Representatives of the Alternative Investment Management Association.

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On October 28, 2021, the following Commission staff:

Jonathan Ingram, Division of Corporation Finance (“*CF*”)  
Mark Vilaro, CF  
Michael Reedich, CF  
Kristin Snyder, Division of Examinations (“*Exams*”)  
Scott Walker, Exams  
Valerie A. Szczepanik, Strategic Hub for Innovation and Financial Technology (“*FinHub*”)  
Bernard Nolan, FinHub  
David Crosbie, FinHub  
Morgan Ward Doran, FinHub  
David Bartels, Division of Investment Management (“*IM*”)  
Jennifer McHugh, IM  
Melissa Rovers Harke, IM  
Morgan Miles, Office of the Chief Accountant  
David Lisitza, Office of the General Counsel  
Kevin Baumann, Office of International Affairs; and  
Neel Maitra, Division of Trading and Markets

attended a WebEx meeting with the following members and/or representatives of the Alternative Investment Management Association (“*AIMA*”).

Aniqah Rao, AIMA  
Daniel Austin, AIMA  
James Delaney, AIMA  
Jiri Krol, AIMA  
John D’Agostino, Dagger Consulting  
Michelle Noyes, AIMA

Andrew Siegel, Galaxy Digital  
Philip Liu, Arca Capital Management LLC  
Chris Perkins, CoinFund

The meeting concerned, among other things, the Commission Policy Statement relating to custody of digital asset securities by special purpose broker-dealers [Release No. 34-90788].