

## MEMORANDUM

TO: File no. S7-25-20

FROM: Samantha Ostrom  
*Policy Counsel, Office of the Chair*

RE: Oasis Pro Markets

DATE: June 28, 2023

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On June 28, 2023, Corey Frayer and Samantha Ostrom from the Office of the Chair met with the following representatives of Oasis Pro Markets

- Pat LaVecchia
- Bob Yostpille
- Junnette Alayo
- Douglas Preveza
- Evangelos Tzoulafis
- Viren Khandelwal
- Gareth Thomas

The participants discussed issues relating to the SEC's statement concerning special purpose broker-dealers.