MEMORANDUM

To: File No. S7-23-19

From: Sebastian Gomez, Senior Advisor to Chairman Jay Clayton

Re: Telephone Conference with Chuck Callan, Broadridge

Date: June 4, 2020

On June 4, 2020, Bryant Morris (Office of the General Counsel) and Sebastian Gomez (Office of Chairman Clayton) had a telephone call with Chuck Callan, Senior Vice President, Regulatory Affairs, Broadridge.

The meeting participants discussed, among other things, the SEC's proposed amendments to procedural requirements and resubmission thresholds under Exchange Act Rule 14a-8.