

## MEMORANDUM

**To:** File No. S7-21-22  
**From:** Ammon Simon, Counsel to Commissioner Hester M. Peirce  
**Re:** Meeting with the Options Clearing Corporation

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On Wednesday, March 29, 2023, Commissioner Mark Uyeda and Ammon Simon, Counsel to Commissioner Peirce, met with the following individuals from the Options Clearing Corporation (OCC):

- Executive Chairman, Craig Donohue
- CEO, John Davidson
- CEO-elect, Andrej Bolkovic
- General Counsel and Corporate Secretary, Megan Cohen.
- Chief External Relations Officer, Julie Bauer.

The general topic discussed was the Commission's proposed rules on *Clearing Agency Governance and Conflicts of Interest*.