

MEMORANDUM

TO: File No. S7-21-22

FROM: Stephanie Park
Office of Clearance and Settlement
Division of Trading and Markets

DATE: March 7, 2023

SUBJECT: Conference Call with Representatives from Options Clearing Corp. (“OCC”)

On March 7, 2023, staff from the Division of Trading and Markets participated in a conference call with representatives from OCC. Participating on the call for the Division of Trading and Markets were Jeffrey Mooney, Matthew Lee, Claire Noakes, Roy Cheruvellil, Jenny Ogasawara, Jonathan Abraham, Josh Mallet, Marina Martynova, Moshe Rothman, Aaron Foxman, Sharon Park, and Stephanie Park. Participating on the call for OCC were Megan Cohen, Julie Bauer, Jeanne Balcom, and Christa Lachenmayr.

The purpose of the conference call was to discuss the Commission’s proposal related to clearing agency governance and conflicts of interest.