

MEMORANDUM

TO: File No. S7-21-22

FROM: Stephanie Park
Office of Clearance and Settlement
Division of Trading and Markets

DATE: December 1, 2022

SUBJECT: Conference Call with Representatives from Better Markets

On November 30, 2022, staff from the Division of Trading and Markets participated in a conference call with representatives from Better Markets. Participating on the call for the Division of Trading and Markets were Jeffrey Mooney, Matthew Lee, Claire Noakes, Tanin Kazemi, Jenny Ogasawara, Adam Bloomfield, Jonathan Abraham, Sharon Park, and Stephanie Park. Participating on the call for Better Markets were Stephen Hall and Houston Shaner.

The purpose of the conference call was to discuss the Commission's proposal related to clearing agency governance and conflicts of interest.