

**MEMORANDUM**

TO: File No. S7-21-22

FROM: Matthew Lee  
Office of Clearance and Settlement  
Division of Trading and Markets

DATE: October 14, 2022

SUBJECT: Conference Call with Representatives from The Options Clearing Corporation (“OCC”)

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On September 1, 2022, staff from the Division of Trading and Markets participated in a conference call with representatives from OCC. Participating on the call for the Division of Trading and Markets were Dan Gien, Tanin Kazemi, Matthew Lee, Jeffrey Mooney, Claire Noakes, Stephanie Park, and Moshe Rothman. Participating on the call for OCC were Janet Angstadt, Jennifer Baum, Megan Cohen, Joe Kamnik, and Ryan Metcalfe.

The purpose of the conference call was to discuss the Commission’s proposal related to clearing agency governance and conflicts of interest.