

MEMORANDUM

TO: File No. S7-21-22

FROM: Claire Noakes
Office of Clearance and Settlement
Division of Trading and Markets

DATE: April 2, 2023

SUBJECT: Conference Call with Representatives from The Options Clearing Corporation (“OCC”)

On April 2, 2023, staff from the SEC participated in a conference call with representatives from OCC. Participating on the call were:

- for the Division of Trading and Markets: Matthew Lee, Stephanie Park, Jenny Ogasawara, Claire Noakes
- for OCC: Megan Cohen, Julie Bauer

The purpose of the conference call was to discuss the Commission’s proposal related to clearing agency governance.