

MEMORANDUM

TO: File Nos. S7-20-21 and S7-21-21

FROM: Jill S. Henderson, Senior Counsel to the Director and Chief Economist
Division of Economic and Risk Analysis

RE: Meeting with SIFMA

DATE: August 3, 2022

On August 1, 2022, staff from the Division of Economic and Risk Analysis and the Division of Corporation Finance met with SIFMA. The general topics discussed included the Commission's proposals on Share Repurchase Disclosure Modernization and on Rule 10b5-1 and Insider Trading.

Attendees from the SEC included Jessica Wachter, Robert Girouard, Dennis Hamilton, Jill Henderson, Mariesa Ho, Angela Huang, Kathryn Schumann-Foster, Charles Woodworth, and Mengxin Zhao (Division of Economic and Risk Analysis) and Erik Gerding, Elizabeth Murphy, Felicia Kung, Luna Bloom, Brian Galle, Nabeel Cheema, and Sean Harrison (Division of Corporation Finance).

Attendees from SIFMA were Joe Corcoran, Kevin Carroll, Rich Baker (Morgan Stanley), Joshua Shek (Morgan Stanley), Jo Djekovic (Morgan Stanley), Elliot Chalom (JPMorgan), Stephen Grant (JPMorgan), Jake Mendelsohn (Bank of America), Gary Rosenblum (Bank of America), Matthew Morris (Citi), Steven Keltz (Citi), Julia Yim (Truist), Geoff Fennell (Truist), Sarah Levitt (Goldman Sachs), Will Miller (Goldman Sachs), Sarah Payne (Sullivan & Cromwell), Catherine Clarkin (Sullivan & Cromwell), and Katya Roze (Sullivan & Cromwell).