

## MEMORANDUM

**To:** File No. S7-21-19

**From:** Matthew Cook, Senior Advisor to Chairman Jay Clayton

**Re:** Meeting with Representatives of the Investment Adviser Association

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On June 15, 2020, Matthew Cook, Kimberly Hamm and Sebastian Gomez-Abero of the Office of the Chairman met with the following representatives of the Investment Adviser Association:

- Karen Barr (President & CEO, IAA)
- Gail Bernstein (General Counsel, IAA)
- Sarah Buescher (Associate General Counsel, IAA)
- Sanjay Lamba (Associate General Counsel, IAA)
- Laura Grossman (Associate General Counsel, IAA)
- Michael McGrath (K&L Gates)

The meeting participants discussed, among other things, the SEC's proposed rules relating to investment adviser advertisements and compensation for solicitations.