

MEMORANDUM

November 29, 2022

TO: File No. S7-20-21

FROM: Charles Lee
Office of Commissioner Mark T. Uyeda

RE: Meeting with Representatives of Davis Polk & Wardwell LLP (“Davis Polk”)

On November 29, 2022 Commissioner Mark T. Uyeda’s counsel, Charles Lee, had a meeting with Davis Polk. Mr. Lee and the attendees met telephonically. The Davis Polk representatives in attendance consisted of:

- Joe Hall (Partner)
- Mark Mendez (Partner)

Among other matters, the participants discussed Davis Polk’s comment letter filed in connection with the proposed rule Rule 10b5-1 and Insider Trading.