

## MEMORANDUM

**TO:** File No. S7-18-22  
**FROM:** Lawrence Pace, Senior Counsel, Division of Investment Management  
**RE:** Meeting with Representatives of S&P Dow Jones Indices LLC  
**DATE:** March 1, 2023

---

On March 1, 2023, staff from the U.S. Securities and Exchange Commission (the “SEC”) met with representatives of S&P Dow Jones Indices LLC (“S&P DJI”). Participants from the SEC’s Division of Investment Management included: Sarah ten Siethoff, Melissa Harke, Jennifer Porter, Elena Stojic, Frank Buda, Jill Pritzker, Lawrence Pace, and Christian Corkery.

Participants from S&P DJI included Brian Appel, Deborah Revesz, and Joe DePaolo, along with Amy Doberman (Wilmer Cutler Pickering Hale and Dorr LLP), Justin Daly (Daly Consulting Group) and Bridget Cullen (Daly Consulting Group).

The participants discussed the SEC’s *Request for Comment on Certain Information Providers Acting as Investment Advisers* [Release No. IA-6050].