

**MEMORANDUM**

January 12, 2023

**TO:** File No. S7-18-22

**FROM:** Holly Hunter-Ceci  
Office of Commissioner Mark T. Uyeda

**RE:** Meeting with Representatives of S&P Global

On January 9, 2023, Steven B. Levine and Holly Hunter-Ceci, counsels to Commissioner Mark T. Uyeda, met virtually with the following:

- Joe DePaolo, Associate General Counsel, S&P Global
- Darlene Rosenkoetter, Global Head, Government Affairs and Public Policy, S&P Global
- Justin Daly, Daly Consulting Group

The participants discussed S&P Global's comment letter filed in connection with the Commission's Request for Comment on "Certain Information Providers Acting as Investment Advisers," among other topics.