

MEMORANDUM

To: File No. S7-18-22

From: William Middlebrooks, Counsel to Commissioner Hester M. Peirce

Re: Meeting with Representatives of S&P Global

On 5 January, 2023, William Middlebrooks, Counsel to Commissioner Peirce, met with the following representatives from S&P Global:

- Joe DePaolo, Chief Legal Officer of S&P Dow Jones Indices and Associate General Counsel of S&P Global
- Darlene Rosenkoetter, Global Head of Public Policy, S&P Global
- Justin Daly, Daly Consulting Group

Among the topics discussed was the following Commission request for comment:

- *Request for Comment on Certain Information Providers Acting as Investment Advisers*