

MEMORANDUM

TO: File No. S7-18-22
FROM: Jill Pritzker, Attorney-Adviser, Division of Investment Management
RE: Meeting with Representatives of the American Institute of CPAs
DATE: November 15, 2022

On November 15, 2022, staff from the U.S. Securities and Exchange Commission (the “SEC”) met with representatives of the American Institute of CPAs (AICPA). Participants from the SEC’s Division of Investment Management included: Melissa Rovers Harke (Assistant Director), Lawrence Pace (Senior Counsel), Mark Stewart (Senior Counsel), Christian Corkery (Senior Counsel), and Jill Pritzker (Attorney-Adviser).

Participants on behalf of the AICPA included:

- David Lavan, Dinsmore & Shohl LLP
- Caitlin Throne, Dinsmore & Shohl LLP

The participants discussed the SEC’s request for comment titled *Request for Comment on Certain Information Providers Acting as Investment Advisers* [Release No. IA-6050].