

## MEMORANDUM

TO: File No. S7-18-21  
FROM: Division of Trading and Markets  
RE: Meeting with Representatives of BNY/Mellon  
DATE: August 25, 2022

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On August 25, 2022, members of the SEC staff had a teleconference with representatives of BNY/Mellon. SEC staff at the meeting from the Division of Trading and Markets included Haoxiang Zhu, Carol McGee, Mike Macchiaroli, Tom McGowan, Jeff Mooney, Elizabeth Fitzgerald, Josephine Tao, John Guidroz, Laura Compton, and Theresa Hajost. The BNY/Mellon representatives included Denise Rosenhaft, Laide Majiyagbe, and Mike McAuley.

Among other things, the participants discussed Proposed Rule 10c-1.