

MEMORANDUM

TO: File No. S7-18-21
FROM: Division of Trading and Markets
RE: Meeting with Representatives of the Financial Information Forum
DATE: June 27, 2022

On June 27, 2022, members of the SEC staff had a teleconference with representatives of the Financial Information Forum (“FIF”). SEC staff at the meeting included staff from the Division of Trading and Markets (“TM”) and the Division of Economic & Risk Analysis (“DERA”). The TM representatives were Josephine J. Tao, John Guidroz, Elizabeth Sandoe, Carol McGee, Brandon Figg, and Theresa Hajost. The DERA representatives were Paul Barton, Amy Edwards, and Peter Dixon. The FIF representatives included Howard Meyerson, Kevin McCabe, Jayson Hesch, Ken Wilson, Nancy Steiker, William Pepe, Matt Cohen, and Susan Crozier.

The participants discussed Proposed Rule 10c-1.