

MEMORANDUM

TO: File No. S7-18-21
FROM: Division of Trading and Markets
RE: Meeting with the Institute of International Bankers
DATE: March 16, 2022

On March 16, 2022, representatives of the Division of Trading and Markets (“TM”) and the Division of Economic and Risk Analysis (“DERA”) participated in a teleconference with representatives of the Institute of International Bankers (“IIB”). The TM representatives were David Saltiel, Joshua Nimmo, Josephine J. Tao, John Guidroz, Joan Collopy, and Theresa Hajost. The DERA representatives were Amy Edwards, Paul Barton, Qiyu Liu, and Peter Dixon. The IIB participants were Jeff Robins, Steven Troyer, Craig Griffith, Stephanie Webster, Jonathan Steinberg, Brett Borsare, Christopher Pica, Keaghan Ames, Jeff Siegel, Matthew Danton, Joege Riegel and Mike McLean.

The participants discussed issues/matters raised in IIB’s comment letter concerning Proposed Rule 10c-1.