

## MEMORANDUM

TO: File No. S7-18-21  
FROM: Division of Trading and Markets  
RE: Meeting with State Street  
DATE: March 8, 2022

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On March 8, 2022, representatives of the Division of Trading and Markets (“TM”) and the Division of Economic and Risk Analysis (“DERA”) participated in a teleconference with staff from State Street. The TM representatives were Josephine J. Tao, John Guidroz, Joan Collopy and Theresa Hajost. The DERA representatives were Amy Edwards, Paul Barton, Qiyu Liu, and Peter Dixon. The State Street participants were William Katt, Francesco Squillacioti, Robert McKeon, Jarrod Polseno, Melissa McKay, James Day, and Barry Joseph.

Among other things, the participants discussed Proposed Rule 10c-1.