

## MEMORANDUM

TO: File No. S7-18-21  
FROM: Division of Trading and Markets  
RE: Meeting with Representatives of SIFMA  
DATE: February 8, 2022

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On February 8, 2022, representatives of the Division of Trading and Markets (“TM”) and the Division of Economic Risk Analysis (“DERA”) met with representatives of SIFMA. The TM representatives were Josephine Tao, John Guidroz, Joan Collopy and Theresa Hajost. The DERA representatives were Amy Edwards and Peter Dixon. The participants representing SIFMA included John Hooten, Eric Colchamiro, Joe Cohn, Mike Kelleher, Omer Oztan, Billy Wade, Andrea O’Toole, Steve Schneider, Rob O’Connor, Michele Bianco, David Liebenstein, Kevin Campion, Jamie Brigagliano, David Katz, Erin Kaufman, Rob Toomey, Joe Corcoran, and Joe Seidel.

The participants discussed issues/matters raised in SIFMA’s comment letters concerning Proposed Rule 10c-1.