

MEMORANDUM

TO: File Nos. S7-17-22, S7-16-22, S7-10-22

FROM: Diem-Mi Lu – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and Exchange Commission

RE: Ceres Accelerator for Sustainable Capital Markets

DATE: November 1, 2022

On November 1, 2022, Commissioner Jaime Lizárraga, Parisa Haghshenas, Brandon Hill, Laura D’Allaird, and Diem-Mi Lu met with the following individuals from the Ceres Accelerator for Sustainable Capital Markets:

- Steven Rothstein, Managing Director
- Holly Li, Director, Net Zero Finance
- Randi Mail, Director, Campaigns
- Jim Coburn, Senior Manager, Disclosure
- Tom Riesenberg, Senior Regulatory Advisor
- Vladimir Proaño, Associate, Financial Regulation

Among the topics discussed were the Commission’s proposed rules on the Environmental, Social, and Governance Disclosures for Investment Advisers and Investment Companies, Investment Company Names, and the Enhancement and Standardization of Climate-Related Disclosures for Investors. During the meeting, the Ceres participants provided the Commissioner with the attached document.

See Attached.