

MEMORANDUM

TO: File No. S7-17-22

FROM: Staff from the Division of Investment Management, U.S. Securities and Exchange Commission

RE: Meeting with staff from the Principles for Responsible Investment (“PRI”)

DATE: February 22, 2023

On February 22, 2023, staff from the U.S. Securities and Exchange Commission (“SEC”) met with representatives of the PRI.

SEC staff participants included staff from the Division of Investment Management (Brian M. Johnson, Sara Cortes, Zeena Abdul-Rahman, Christopher Staley, Alexis Palascak, Pamela K. Ellis, Amy Miller, Nathan Schuur, Asaf Barouk, and Matthew Williams) and staff from the Division of Economic and Risk Analysis (Cindy Alexander).

The PRI representatives included Gregory Hershman, Will Sullivan, and Nathan Fabian.

Participants discussed the SEC’s rulemaking proposal titled “Enhanced Disclosures by Certain Investment Advisers and Investment Companies about Environmental, Social, and Governance Investment Practices,” set forth in Release Nos. 33-11068; 34-94985; IA-6034; IC-34594 (May 25, 2022).