

MEMORANDUM

TO: File Nos. S7-17-22, S7-16-22, S7-10-22, S7-20-22

FROM: Diem-Mi Lu – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and Exchange Commission

RE: US SIF: The Forum for Sustainable and Responsible Investment

DATE: October 6, 2022

On October 6, 2022, Commissioner Jaime Lizárraga, Parisa Haghshenas, and Diem-Mi Lu met with the following representatives of US SIF: Lisa Woll, CEO, and Bryan McGannon, Director of Policy and Programs.

Among the topics discussed were the Commission’s proposed rules on the Environmental, Social, and Governance Disclosures for Investment Advisers and Investment Companies and Investment Company Names, the Enhancement and Standardization of Climate-Related Disclosures for Investors, the Substantial Implementation, Duplication and Resubmission of Shareholder Proposals Under Exchange Act Rule 14a-8, and the Human Capital Management Disclosure recommendation under consideration by the Division of Corporation Finance.