

## MEMORANDUM

**TO:** File No. S7-17-22

**FROM:** Pamela Ellis, Senior Counsel, Division of Investment Management

**RE:** Teleconference with participants from the Managed Funds Association

**DATE:** October 13, 2022

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On September 30, 2022, staff from the U.S. Securities and Exchange Commission (the “SEC”) held a videoconference with representatives from Managed Funds Association (“MFA”) and its members.

SEC staff participants included from the Division of Investment Management (William Birdthistle, Sarah ten Siethoff, Melissa Rovers Harke, Sara Cortes, Zeena Abdul-Rahman, Christopher Staley, Pamela Ellis, Robert Holowka, Amy Miller, Alexis Palascak, Samuel Thomas, and Frank Sensenbrenner) and from the Division of Economic and Risk Analysis (Jessica Wachter, Cindy Alexander, Yoon-Ho Alex Lee, and Robert Miller). Participants from MFA and its members were:

- Jennifer Han, MFA
- Jillien Flores, MFA
- David Lourie, MFA
- Doug Bortner, Sound Point Capital Management
- Brooke Guven, Cereus Capital Management
- Courtney Lewis, Marshall Wace North America, LP
- Ramsey Mesyef, BAM
- Jason Mitchell, Man Group
- Jeff Wechselblatt, Lone Pine Capital LLC

The participants discussed, among other things, the Commission’s proposal titled *Enhanced Disclosures by Certain Investment Advisers and Investment Companies about Environmental, Social, and Governance Investment Practices* [Release No. 33-11068; 34-94985; IA-6034; IC-34594].