

MEMORANDUM

TO: Proposed Rules: Investment Company Names (Release No. 33-11067; 34-94981, IC-34593; File No. S7-16-22); Environmental, Social, and Governance Disclosures for Investment Advisers and Investment Companies (Release No. 33-11068; 34-94985, IA-6034, IC-34594; File No. S7-17-22).

FROM: Amanda Hollander Wagner, Senior Special Counsel, Division of Investment Management

RE: Teleconference with Representatives of the Committee of Annuity Insurers

DATE: August 9, 2022

On July 21, 2022, staff of the SEC met telephonically with representatives of the Committee of Annuity Insurers (“CAI”). Participants included: Brian McLaughlin Johnson (Assistant Director, Division of Investment Management (“IM”)), Amanda Hollander Wagner (Senior Special Counsel, IM), Michael Kosoff (Senior Special Counsel, IM), Brad Gude (Branch Chief, IM), Mykaila DeLesDernier (Senior Counsel, IM), Pamela Ellis (Senior Counsel, IM), Amy Miller (Senior Counsel, IM), Matthew Williams (Senior Counsel, IM), Dan Deli (Financial Economist, Division of Economic and Risk Analysis (“DERA”)), and Ross Askanazi (Financial Economist, DERA), as well as the following representatives of the CAI:

- Ronald D. Coenen, Jr., Partner, Eversheds Sutherland
- Alexandria Stith, Associate, Eversheds Sutherland

The participants discussed, among other things, the SEC’s proposal titled “Investment Company Names,” as set forth in Investment Company Act Release No. 34593 (May 25, 2020), and the SEC’s proposal titled “Environmental, Social, and Governance Disclosures for Investment Advisers and Investment Companies,” as set forth in Investment Company Act Release No. 34594 (May 25, 2020).